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Split-Ring Resonators Add to SIW Bandpass Filter

Complementary split-ring resonators etched into substrate-integrated-waveguide circuits can form the basis of broadband PCB bandpass filters at microwave frequencies.

aveguide has long been a reliable, low-loss transmission line technology capable of handling high power levels and forming high-quality-factor (high-Q) resonators. But it is large and heavy compared to transmission-line technologies constructed on printed-circuit-board (PCB) materials. Substrate-integratedwaveguide (SIW) transmission-line technology brings some of the features of rectangular waveguide to the miniature size of PCB circuits.

In addition, SIW transmission lines are easy to fabricate. By using SIW transmission lines to fabricate

complementary split-ring resonator (CSRR) structures, it is possible to achieve waveguide-like filter performance from a planar PCB.

As with metallic waveguides, SIW circuits with CSRR elements are capable of achieving high-quality-factor (high-Q) performance. By combining SIW technology with CSRR circuit elements, it is possible to build a low-profile planar bandpass filter on a single substrate with high-Q performance for radio front ends in broadband communication systems (*Fig. 1*).

CSRRs etched in the ground plane or conductor strip of a planar transmission media, such as microstrip or coplanar waveguide (CPW), provide a negative effective permittivity to the structure. In doing so, they preclude signal propagation and provide stopband behavior at the resonant frequency of the CSRR structure.¹



1. This is the basic structure of a CSRR, with inner and outer rings and the dark areas representing metallization of the rings. CSRRs have been proposed for the synthesis of negative permittivity and left-handed (LH) metamaterials in planar configurations.¹⁻³ CSRRs are the dual counterparts² of the split-ring resonators (SRRs) proposed by Pendry in 1999.⁴ CSSRs have been applied to the design of compact bandpass filters with high performance and controllable characteristics (refs. 5 and 6).

By forming them with SIW technology, it is possible to achieve excellent filter performance from small planar circuits. SIW transmission lines are created by forming two rows of metallized viaholes in a PCB substrate. The field distribution in a SIW transmission line is similar to

that in a conventional rectangular waveguide.

Figure 2 shows the basic structure of an SIW transmission line. It has two rows of metallized viaholes on both sides of the



2. A simplified view of a SIW transmission line (left) is shown next to a cross-sectional view of a dielectric-loaded rectangular waveguide (right).



3. The top view of a PCB substrate shows an SIW circuit with etched CSRR.

substrate. The key design parameters for achieving a desired level of performance include the diameter of the viaholes, the separation between two successive viaholes, and the separation between the rows of viaholes. Such parameters are essential for designing low-loss SIW circuitry. To design an SIW circuit with low radiation loss, several conditions should also be met:

 $D < \lambda_g/5$

and

b = 2D

where

a = the center-to-center distance between two parallel metallized viaholes;

b = the height of the substrate;

c = the speed of light in a vacuum;

d = the diameter of the metallized viaholes;

D = the periodic distance between two viaholes;

 λ_g = the wavelength of the waveguide;

 a_{RWG} = the width of a dielectric filled metallic waveguide equivalent to the SIW

Parameter b should be as small as possible to minimize radiation losses. Parameter D, which also impacts the loss performance of an SIW circuit, will change according to b. The ratio of b to D is more critical in maintaining low radiation loss than the individual values of b and D.

When designing SIW transmission lines for a particular frequency range, the cutoff frequency, f_c , is determined as a function of the width and length of the SIW structure. An SIW structure has highpass characteristics. It exhibits a transverse electromagnetic (TE) TE10 mode with dispersion characteristics—characteristics that are almost identical with the same mode of a dielectric filled rectangular waveguide with an equivalent width.

The effective width of an SIW structure is given by:



The bottom view of a PCB substrate shows the bottom of the CSRR etched in the substrate.

 $a_{RWG} = a - (D^2/0.95b)$

while f_c can be found from

 $f_c = C/[2(\epsilon_r)^{0.5} a_{RWG}]$

Figure 3 shows the layout of an SIW circuit with CSSRs etched in the top layer of a PCB substrate. *Figure 4* depicts the layout of an SIW circuit with CSSRs etched in the bottom layer of the substrate. Since CSRRs are etched in the center of the top or bottom layers of the substrate—and they are mainly excited by the electric field induced by the SIW (as for TE10 mode)—this coupling can be modeled by connecting the capacitance of the SIW transmission lines to the CSRRs. Using



this strategy, the lumpedelement equivalent circuit for an CSRR-loaded SIW circuit is shown in *Fig. 5*.

5. This equivalent-circuit model represents the CSRR-loaded SIW circuit shown in Figs. 3 and 4.



6. These simulated responses show the insertion loss (S21) and return loss (S11) for the basic CSRR cell depicted in Fig. 3.



7. These simulated responses show the insertion loss (S21) and return loss (S11) for the basic CSRR cell depicted in Fig. 4.

As long as the electrical size of the CSRRs is small, the structures can be described by means of lumped elements. In these models, L is the SIW inductance and C is the coupling capacitance between the SIW and the CSRR. The resonator is described by means of a parallel tank (refs. 7, 8), with L_c and C_c being the reactive circuit elements and R accounting for loss.

Using this model, the transmission-zero frequency which nulls the shunt impedance was determined through simulations and measurements. To demonstrate the viability of the proposed technique, it was applied to the determination of the electrical parameters of a single-cell CSSR-loaded SIW circuit.

The structures of Figs. 3 and 4 were simulated for fabrication on RT/duroid 5880 circuit laminate material from Rogers Corp. (www.rogerscorp.com). The PCB material has a relative dielectric constant, ε_{r} , of 2.22 at 10 GHz in the z axis (thickness) of the material. The material's thickness, h, is 0.254 mm, and dissipation factor (loss), δ , is 0.002. The width of the access lines is 0.76 mm.

Figures 6 and 7 show the simulated scattering (S) parameters for the circuits depicted in Figs. 3 and 4, respectively. The circuit structures show similar characteristics, except that the circuit of Fig. 3 achieves higher stopband attenuation than the circuit of Fig. 4.

The single SIW CSRR cell shown in Fig. 4 was designed using a cell with outer-circle radius of 1.8 mm and inner-circle radius of 1.0 mm. To minimize losses, a microstrip feed was used to obtain maximum coupling between the SIW filter and the external feed line. By reducing the dimensions of the CSRR cell, the resonant frequency will increase.

The CSRR cell was loaded periodically onto the face of the SIW circuitry, but the edges of the CSRR cell were adjusted in size to achieve adequate bandwidth. As a result, their size has been slightly adjusted—to 1.7 mm for the outer-circle radius



8. A compact SIW bandpass filter was formed with three cascaded CSRR cells and tapered lines for interconnection with microstrip input and output transmission lines.



9. These simulated responses show the insertion loss (S21) and return loss (S11) for the SIW bandpass filter of Fig. 8.

and 0.9 mm for the inner-circle radius—using the RT/duroid 5880 PCB substrate material.

Following simulation via the High Frequency Structure Simulator (HFSS) EM simulation software from ANSYS (www.ansys.com), the single cell CSRR was found to resonate at around 6.5 GHz, yielding negative effective permittivity at that frequency. Figures 6 and 7 show simulated results for the CSRR-loaded SIW structures of Figs. 3 and 4, respectively, from 4 to 10 GHz.



10. This is an SIW transmission line with single CSRR cell etched in the top layer.





When CSRR cells are cascaded, as shown in *Fig. 8*, the SIW circuit exhibits bandpass behavior from 7.2 to 10.0 GHz with very low return and insertion losses. When two CSRR cells are added and aligned with the central CSRR structure, the resulting structure achieves wide bandwidth from 4 to 12 GHz as part of a bandpass response (*Fig. 9*).

A SIW circuit with square CSRR cell was designed using a width of 3.6 mm for the outer ring and 2.0 mm for the inner ring (*Fig. 10*). A taper was employed to minimize losses by maximizing coupling between the SIW filter and the external line. Otherwise, the SIW circuit dimensions were the same as those for the SIW circuits with circular CSRR cells. The SIW circuit with square CSRR cell was simulated with HFSS software, with minimal reflections found around 7.4 GHz, when the effective permittivity of the CSRR cell is negative (*Fig. 11*).

When square CSRR cells were cascaded (*Fig. 12*), a compact SIW circuit was achieved with bandpass response, with low return and insertion losses from 7.2 to 10.0 GHz. This compact



13. These simulated responses show insertion loss (S₂₁) and return loss (S₁₁) for the SIW bandpass filter of Fig. 12.



12. This SIW bandpass filter features three cascaded square CSRR cells.

bandpass filter has dimensions of $34 \times 18 \text{ mm}^2$ and is formed from three cascaded CSRR cells. It was simulated from 4 to 10 GHz with simulated results of -33.1-dB minimum return loss and 0.3-dB insertion loss at 8.8 GHz (*Fig. 13*).

The miniature CSRR-loaded SIW bandpass filter shows reasonable insertion and return losses from 7.2 to 9.8 GHz. This technology, of fabricating CSRR cells with SIW transmission lines on low-loss microwave substrate materials, shows great promise for the creation of compact bandpass filters at microwave frequencies.

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